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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/804,271

03/18/2004

John A. Damm JR.

18767-1/P01

JCE, FORWARD, HAMILTON & SCRIPPS LLP 11988 EL CAMINO REAL, SUITE 200 SAN DIEGO, CA 92130

CONFIRMATION NO. 7805 FORMALITIES LETTER *OC00000013319633*

Date Mailed: 07/23/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/08/2004 HALI11

00000113 10804271

FILED UNDER 37 CFR 1.53(b)

01 FC:2051

65.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 1-11c.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

\$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

| 7 2004 = | | U.S. Pater | Approved for and Trademark Office | use throug | PTO/SB/21 (02-04) gh 07/31/2006. OMB 0651-0031 EPARTMENT OF COMMERCE |
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| User the Paperwork Reduction Act of 1995 | no persons | are required to respond to a collection Application Number | on of information unle | ss it disola | avs a valid OMB control number. |
| TRANSMITTAL | | Filing Date | | | • |
| FORM | | First Named Inventor | 03/18/2004 | | |
| | | Art Unit | John A. Damm Jr. | | |
| (to be used for all correspondence after initial | filing) | | 2171 | | · |
| | | Examiner Name | Unassigned | | |
| Total Number of Pages in This Submission | Attorney Docket Number | 18767-1/P01 | | | |
| | ENCL | OSURES (Check all tha | t apply) | | |
| Fee Transmittal Form Fee Attached # 157125 Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 | | Drawing(s) icensing-related Papers Petition Petition to Convert to a Provisional Application Cower of Attorney, Revocation Change of Correspondence Address Perminal Disclaimer Request for Refund CD, Number of CD(s) | ess to | Technology peal Correct Appeals peal Correct Appeal Notice Appeal Notice Appeal Notice Apprietary Atus Lette Apprietary Atus Lette Apprietary Atus Lette Apprietary A | osure(s) (please ow): |
| SIGNA | TURE O | F APPLICANT, ATTORN | EY, OR AGEN | Τ | |
| Firm or Individual name Signature Date David E. Heisey, Registra Luce, Forward, Hamilton & | & Scripps L | | | | |
| | 1 | ATE OF TRANSPORTS | UMAN DIA | | |
| I hereby certify that this correspondence is b sufficient postage as first class mail in an enthe date shown below. | eing facsin | ATE OF TRANSMISSION nile transmitted to the USPTO or lressed to: Commissioner for Par | deposited with the | United S | States Postal Service with ndria, VA 22313-1450 on |
| Typed or printed name Leigt A. Coleman | | | | | |
| Signature | <u>i</u> | | | Date | 9-3-04 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|-------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------|-------------------|--|--|
| 0 | TEE TRANSMITTAL | | Complete if Known | | |
| SEP 0.7 2004 | 9 for EV 2004 | Application Number | 10/804,271 | | |
| | 0.7 2004 | Filing Date | 03/18/2004 | | |
| 0 | SEP Life 200 0/01/2003. Patent fees are subject to annual revision. | First Named Inventor | Damm Jr. | | |
| Approprint claims small entity status. See 37 CFR 1.27 TO ANALYSIS OF PAYMENT \$ 65.00 | Examiner Name | Unnassigned | | | |
| | Apparati claims small entity status. See 37 CFR 1.27 | Art Unit | 2171 | | |
| TOZA | AMAROS OF PAYMENT \$ 65.00 | Attorney Docket Number | 18767-1/P01 | | |

| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | |
|------------------------------------------------------------------------------------------------------------------|-----------------------------|--------------------|--------------|--------------|--------------------------------------------------------------------------------|
| ☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None | | 3. ADDITIONAL FEES | | | |
| Order | | Entity | Sma | II Entity | |
| ☐ Deposit Account: | Fee | Fee | Fee | Fee | Fee Description |
| Deposit. | Code | (\$) | Code | (\$) | Fee Paid |
| Account 50-2298 Number | 1051 | 130 | 2051 | 65 | Surcharge – late filing fee or oath 65.00 |
| Humber | 1051 | 50 | 2051 | 65 25 | Surcharge – late filing fee or oath Surcharge – late provisional filing fee or |
| Deposit | 1002 | 00 | 2002 | 20 | cover sheet |
| Account Luce, Forward, Hamilton & Scripps LLP | 1053 | 130 | 1053 | 130 | Non-English specification |
| Name | 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte |
| The Director is sutherized to Johnston Without and W | 1804 | 000* | 4004 | 0001 | reexamination CID advanta |
| The Director is authorized to: (check all that apply) ☐ Charge fee(s) indicated below ☐ Credit any overpayments | | 920* | 1804 | 920° | Requesting publication of SIR prior to Examiner action |
| Charge any additional fee(s) or any underpayment of fee(s) | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after |
| ☐ Charge fee(s) indicated below, except for the filing fee | | .,. | | | Examiner action |
| to the above-identified deposit account. | 1251 | 110 | 2251 | 55 | Extension for reply within first month |
| | 1252 | 420 | 2252 | | Extension for reply within second month |
| | 1253 | 950 | 2253 | | Extension for reply within third month |
| FEE CALCULATION | 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month |
| | 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month |
| 1. BASIC FILING FEE | 1401 | 330 | 2401 | 165 | Notice of Appeal |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid | 1402 | 330 | 2402 | | Filing a brief in support of an appeal |
| Fee Fee Fee Fee <u>Fee Description</u> Fee Paid Code (\$) Code (\$) | 1403 1451 | 290 1,510 | 2403 1451 | 145 1,510 | Request for oral hearing Petition to institute a public use |
| 1001 770 2001 385 Utility filing fee | 1401 | 1,510 | 1401 | 1,510 | proceeding |
| 1002 340 2002 170 Design filing fee | 1452 | 110 | 2452 | 55 | • |
| 1003 530 2003 265 Plant filing fee | 1453 | 1,330 | 2453 | 665 | Petition to revive – unintentional |
| 1004 770 2004 385 Reissue filing fee | 1501 | 1,330 | 2501 | 665 | , |
| 1005 160 2005 80 Provisional filing fee | 1502 | 480 | 2502 2503 | | <u> </u> |
| SUBTOTAL (1) (\$) | 1503 1460 | 640 130 | 1460 | 320 130 | Petitions to the Commissioner |
| SOBIOTAL (I) (V) | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) |
| | 1806 | 180 | 1806 | 180 | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1000 | 100 | 1000 | 100 | Stmt. |
| Fee from | 8021 | 40 | 8021 | 40 | Recording each patent assignment per |
| Extra Claims below Fee Paid | 0021 | 70 | 002. | | property (times number of properties) |
| Total Claims -20**= 0 x = | 1809 | 770 | 2809 | 385 | Filing a submission after final rejection |
| Independent | | | | | (37 CFR 1.129(a)) |
| Claims -3**= 0 x = | 1810 | 770 | 2810 | 385 | For each additional invention to be |
| Multiple Dependent | | | | | examined (37 CFR 1.129(b)) |
| L F-4th. C | 1801 | 770 | 2801 | 385 | - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application |
| Code (\$) Code (\$) | | | | | design application |
| 1202 18 2202 9 Claims in excess of 20 | Other fo | ee (specif | ý) | | |
| 1201 86 2201 43 Independent claims in excess of 3 | | . , | _ | | |
| 1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 **Reissue independent claims over | *Reduc | ed by Ba | sic Filin | ng Fee Pai | id |
| 1204 86 2204 43 **Reissue independent claims over original patent | | | | | |
| 1205 18 2205 9 **Reissue claims in excess of 20 and | | | | | |
| over original patent | | | | | |
| | | | | | |
| SUBTOTAL (2) (\$) | | | | | SUBTOTAL (3) (\$) 65.00 |
| **or number previously paid, if greater; For Reissues, see above | | | | | |
| SUBMITTED BY | | 10 | | | Complete (if applicable) |
| Name (Print/Type) David E. Heisey | | istration I | | 42,651 | Telephone 858-720-6341 |
| , , , , , , , , , , , , , , , , , , , , | (Atto | mey/Age | nt) | ,001 | 1010pilolio 000-120-00-1 |

| SUBMITTED BY | | | Complete (if applicable) |
|-------------------|-----------------|------------------------------------------|--------------------------|
| Name (Print/Type) | David E. Heisey | Registration No. (Attorney/Agent) 42,651 | Telephone 858-720-6341 |
| Signature | | | Date 9-1-04 |

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